



RECEIVED

APR 16 2001

TECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Andrea M. Douglas, et al.

Examiner: R. Deberdt

Serial No: ~~09/723,397~~ 9/819,097

Art Unit: 1647

Filed: October 16, 1998

Docket: 11375

For: CYTOKINES AND THEIR USE IN  
TREATMENT AND/OR PROPHYLAXIS  
OF BREAST CANCER

Date: April 10, 2001

Assistant Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §1.97(b)(4), it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Douglas, A.M., et al., "Oncostatin M induces the differentiation of breast cancer cells", Int J Cancer, Vol. 75(1): 64-73 (1998);
2. Douglas, A.M., et al., "Expression and function of members of the cytokine receptor superfamily on breast cancer cells", Oncogene, Vol. 14(6): 661-9 (1997)

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on April 10, 2001.

Dated: April 10, 2001

  
Michelle Mustafa

Applicants are submitting copies of the above-cited references. All or the references are in English, therefore, no translations are required.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. §1.97(b), no petition, certification or fee is required.

Consideration of this Information Disclosure Statement is respectfully requested.

Respectfully submitted,



Frank S. DiGiglio  
Registration No. 31,346

Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530

FSD:PIB:JAM:lf

1647

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
11375

Re Application Of: Andrea M. Douglas, et al.

APR 12 2001

Serial No.

Filing Date

Examiner

Group Art Unit

08/23/98  
PATENT & TRADE  
23,397

October 16, 1998

R. Deberdt

1647

Title: **CYTOKINES AND THEIR USE IN TREATMENT AND/OR PROPHYLAXIS OF BREAST CANCER**

RECEIVED

APR 16 2001

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

TECH CENTER 1600/2900

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

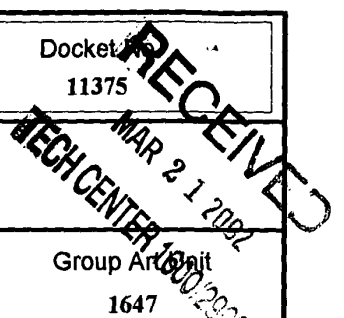
RECEIVED  
APR 17 2001  
TC 1700



**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No. 11375

In Re Application Of: **Andrea M. Douglas, et al.**



|                          |                                 |                        |                        |
|--------------------------|---------------------------------|------------------------|------------------------|
| Serial No.<br>09/723,397 | Filing Date<br>October 16, 1998 | Examiner<br>R. Deberri | Group Art Unit<br>1647 |
|--------------------------|---------------------------------|------------------------|------------------------|

Title: **CYTOKINES AND THEIR USE IN TREATMENT AND/OR PROPHYLAXIS OF BREAST CANCER**

RECEIVED

APR 16 2001

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

TECH CENTER 1600/2900

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on \_\_\_\_\_ (Date)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on 4/10/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

\_\_\_\_\_  
Signature of Person Mailing Correspondence

**Mishelle Mustafa**  
Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

Signature

Dated: April 10, 2001

**Frank S. DiGiglio**  
Registration No. 31,346

**Scully, Scott, Murphy & Presser**  
400 Garden City Plaza  
Garden City, NY 11530  
(516) 742-4343

CC:

RECEIVED  
APR 17 2001  
TC 1700